

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. ASX-015CP

First Named Inventor Chen et al.

Title Integrated Plasma Chamber and Inductively-Coupled  
Toroidal Plasma Source

## APPLICATION ELEMENTS

ADDRESS TO: Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
2. ☐ Small Entity Status  
☐ Applicant claims small entity status  
☐ Status established in prior application and is still proper and desired
3. ☒ Specification and Drawings [Total Pages 35]  
- Written Description - ( 19 pages)  
- Claims - ( 8 pages)  
- Abstract - (1 page)  
- Sheets of Drawings - (7 sheets)  
☐ Formal  
☒ Informal
4. ☐ Oath or Declaration [Total Pages ]  
a. ☐ Newly executed (original)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
5. ☐ Incorporation by Reference (usable if Box 4b is checked)  
The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☒ Application Data Sheet
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
☐ Computer Readable Copy  
☐ Paper Copy (identical to computer copy)  
☐ CD (identical to computer copy)  
☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment  
☐ Drawings [Total Sheets ]  
☐ Letter to Official Draftsperson Including Drawings [Total Pages ]
12. ☒ Return Receipt Postcard
13. ☐ Certified Copy of Priority Document(s)
14. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application.
15. ☐ CD in duplicate for large table or computer program.
16. ☒ Other:  
Nonpublication Request under 35 U.S.C. 122(b) and Certification

17. ☒ If a CONTINUING APPLICATION, amend the specification by inserting on page 1, before the first line, the sentence:  
--This is a

☐ continuation ☐ divisional ☒ continuation-in-part of prior application Serial No. 09/659,881, filed on September 12, 2000, the entire disclosure of which is incorporated by reference herein.--

Priority to the above application(s) is claimed under 35 U.S.C. 120.

Prior application information: Examiner: M. Paschall. Group/Art Unit: 3742.

18. ☐ Priority - 35 U.S.C. 119

- ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119.  
☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_/\_\_\_\_ on \_\_\_\_.  
☐ The certified copy will follow.

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,  
Date: January 25, 2001  
Reg. No. 45,054  
Tel. No.: (617) 248-7376  
Fax No.: (617) 248-7100  
Jamie H. Rose  
Agent for the Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110

# FEE TRANSMITTAL

## FY 2001

Complete if Known

Application Serial Number	Not yet assigned.
Filing Date	Herewith
First Named Inventor	Chen et al.
Group Art Unit	Not yet assigned.
Examiner Name	Not yet assigned.
Attorney Docket No.	ASX-015CP

## METHOD OF PAYMENT

1. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

## FEE CALCULATION (continued)

3. ADDITIONAL FEES			
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
180	180	Submission of Information Disclosure Statement	
710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
710	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (Specify)			
Other fee (Specify)			

## FEE CALCULATION

## 1. FILING FEE

Large Entity				
Fee (\$)	Fee Description			Fee Paid
710	Utility filing fee			710.00
320	Design filing fee			
150	Provisional filing fee			
	Number	Number	Rate	Amount
	Filed	Extra		
Total Claims	51	- 20 = <u>31</u>	x \$ 18.00 =	558.00
Independent Claims	7	- 3 = <u>4</u>	x \$ 80.00 =	320.00
Multiple Dependent Claim(s), if any			\$270.00 =	
TOTAL:				1588.00
SMALL ENTITY DISCOUNT:				
SUBTOTAL (1)				(\$)
				1588.00

## 2. AMENDMENT CLAIM FEES

Claims	Highest No.	Present	Rate	Fee Paid
Remaining	Previously	Extra		
After Amend.	Paid For			
Total	-	=	x \$ 18.00 =	
Indep.	-	=	x \$ 80.00 =	
<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+ \$270.00 =	
TOTAL:				(\$)
SMALL ENTITY DISCOUNT:				(\$)
SUBTOTAL (2)				(\$)
				0.00

SUBTOTAL (3) (\$)

0.00

SUBTOTAL (1) 1588.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 0.00

TOTAL (\$)

1588.00

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## SIGNATURE BLOCK

Respectfully submitted,

Date: January 26, 2001  
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 Tel. No.: (617) 248-7376  
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PATENT  
Atty. Docket No.: ASX-015CP (473/19)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Chen, et al.  
SERIAL NO.: not yet assigned EXAMINER: not yet assigned  
FILED: January 26, 2001 ART UNIT NO.: not yet assigned  
TITLE: INTEGRATED PLASMA CHAMBER AND INDUCTIVELY-  
COUPLED TOROIDAL PLASMA SOURCE

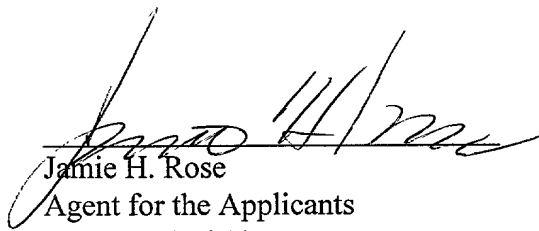
Assistant Commissioner for Patents  
Washington, D.C. 20231

**Nonpublication Request under 35 U.S.C. 122(b) and Certification**

Applicants request nonpublication of the above referenced application, enclosed herewith.

**The application is not to be published under 35 U.S.C. 122(b).** The below signed agent certifies that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Date: January 26, 2001

  
Jamie H. Rose  
Agent for the Applicants  
Reg. No. 45,054

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